

Continued business operations are critical for Park Lane Financial Group LLC ("Park Lane Financial"). We will attain continued business operations by following our Business Continuity and Disaster Plan as follows:

Emergency Contact Persons

Park Lane Financial's two emergency contact persons are: Cade Bekanich, 570-497-8081, Cade@parklanefinancialgroup.org and George Appel, 570-639-1382, Plannergja@comcast.com. These names will be updated in the event of a material change, and our Executive Representative will review them annually.

Firm Policy

Park Lane Financial's policy is to respond to a Significant Business Disruption ("SBD") by safeguarding employees' lives and firm property, making a financial and operational assessment, quickly recovering and resuming operations, protecting all of Park Lane Financial's books and records, and allowing our customers to transact business. In the event that Park Lane Financial determines we are unable to continue our business; we will assure customers prompt access to their funds and securities.

Significant Business Disruptions

Our plan anticipates two kinds of SBDs, internal and external. Internal SBDs affect only Park Lane Financial's ability to communicate and do business, such as fire in our building, death or incapacitation of a principal. In the event Cade Bekanich becomes incapacitated or upon their death, Park Lane Financial will cease to provide advisory services and cease to charge advisory fees. In the event of death or incapacitation, the client will be responsible for contacting the custodian and either move their account to a retail account under the custodian or find a new firm and another advisor to work with them. George Appel, will notify all critical business constituents as well as all regulators who have jurisdiction over the business of Park Lane Financial within 24 hours. George Appel will make sure that the credit demands of Park Lane Financial are met.

Notification shall be given to all clients of Park Lane Financial within five (5) business days from the death or incapacitation of any key persons of Park Lane Financial. Park Lane Financial will be responsible for refunding any unearned pre-paid fees to Clients of Park Lane Financial. External SBDs prevent the operation of the securities markets or a number of firms, such as a terrorist attack, a city flood, or a wide-scale, regional disruption. Our response to an external SBD relies more heavily on other organizations and systems, especially on the capabilities of the Client's respective clearing firm.

Significant Technology System Disruption Plan

In the event of a significant business disruption that results in a significant interruption in access to the firm's technology systems; Park Lane Financial will implement its business continuity plan as detailed in the firm's policies and procedures manual. In the event of theft, loss, unauthorized exposure, or unauthorized use or access of client information, the incident will be investigated and documented by the CCO. In the event of a technology system breach, Park Lane Financial will comply with all local and federal laws to communicate accordingly with the affected third parties.

Approval and Execution Authority

Cade Bekanich, Chief Compliance Officer, is responsible for approving the plan and for conducting the required annual review. Cade Bekanich has the authority to execute this BCP.

Plan Location and Access

Park Lane Financial will maintain copies of its BCP plan and the annual review, and the changes that have been made to it for inspection. An electronic copy of our plan is located in a secure location.

Business Description

Park Lane Financial Group LLC ("Park Lane Financial") was founded in 2024. Cade Bekanich is 100% owner.

Office Location

Park Lane Financial's main office is located at 843 Exeter Ave, West Pittston, PA 18643. Its main telephone number is 570-497-8081.

Alternate Physical Location

In the event of an SBD, Park Lane Financial will move business operations to 540 Queen of Peace Rd. in Harveys Lake, PA. Its main telephone number is 570-639-1382.

Customers' Access to Funds and Securities

Park Lane Financial does not maintain custody of customer's funds or securities, which are maintained at our clearing firm, Charles Schwab & Co., Inc. In the event of an internal or external SBD, if telephone service is available, customers may access their funds and securities by contacting Charles Schwab & Co., Inc. at 1-800-435-4000.

Data Back-Up and Recovery (Hard Copy and Electronic)

Park Lane Financial maintains its primary electronic and hard copy books and records at 131 N. Mountain Blvd. in Mountain Top, PA 18707. Park Lane Financial backs up its electronic records weekly on a web-based backup system in order to allow rapid resumption of the activities of Park Lane Financial. In the event of an internal or external SBD that causes the loss of our records, Park Lane Financial will recover them from our electronic back-up.

Financial Operational Assessments

Operational Risk

In the event of an SBD, Park Lane Financial will immediately identify what means will permit us to communicate with our clients, employees, critical business constituents, critical banks, critical counterparties, and regulators. Although the effects of an SBD will determine the means of alternative communication, the communications options Park Lane Financial will include our telephone voice mail, and secure e-mail. In addition, Park Lane Financial will retrieve our key activity records as described in the section above, Data Back-Up and Recovery.

Financial and Credit Risk

In the event of an SBD, Park Lane Financial will determine the value and liquidity of our investments and other assets to evaluate our ability to continue to fund our operations and remain in compliance with any net capital requirements. We will contact our clearing firm, critical banks, to apprise them of our financial status. If Park Lane Financial determines that we may be unable to meet our obligations to those counterparties or otherwise continue to fund our operations, we will request additional financing from our bank or other credit sources to fulfill our obligations to our customers and clients. If we cannot remedy a capital deficiency, we will file appropriate notices with our regulators.

Alternate Communications between Park Lane Financial and Customers, Employees, and Regulators

Customers

Park Lane Financial now communicates with our customers using the telephone, e-mail, our Web site, or U.S. mail. In the event of an SBD, Park Lane Financial will assess which means of communication are still available and use the means closest in speed and form to the means that we have used in the past to communicate with the other party. For example, if we have communicated with a party by e-mail but the Internet is unavailable, we will call them on the telephone and follow up where a record is needed with paper copy in the U.S. mail.

Employees/Key Personnel/Vendors/Service Providers and Regulators

Park Lane Financial now communicates with other parties using the telephone, e-mail, and in person. In the event of an SBD, we will assess which means of communication are still available to us and use the means closest in speed and form (written and oral) to the means that we have used in the past to communicate with the other party.

Critical Business Constituents

Park Lane Financial has contacted our critical business constituents (businesses with which we have an ongoing commercial relationship in support of our operating activities, such as vendors providing us critical services), and determined the extent to which we can continue our business relationship with them in light of the internal or external SBD. Park Lane Financial will quickly establish alternative arrangements if a business constituent can no longer provide the needed goods or services when we need them because of an SBD to them or Park Lane Financial. Our major suppliers/vendors/affiliates are:

Company Name	City, State	Phone	Services Provided
Charles Schwab	Westlake, TX	1800-435-4000	Custodian
George Appel, CFP, JD	Harvey's Lake, PA	570-639-1382	Sub-advisor/TPM
PNC Bank	Wilkes Barre, PA	570-829-6838	Bank

Regulatory Reporting

We now file reports with our regulators using paper copies in the U.S. mail, and/or electronically using, e-mail, and the Internet. In the event of an SBD, Park Lane Financial will check with all appropriate regulators to determine which means of filing are still available to us and use the means closest in speed and form to our previous filing method. In the event that Park Lane Financial cannot contact our regulators, we will continue to file required reports using the communication means available to us.

Disclosure of Business Continuity Plan

Park Lane Financial provides in writing a BCP disclosure to customers upon request.

Updates and Annual Review

Park Lane Financial will review annually and update this plan whenever Park Lane Financial has a material change to our operations, structure, location or business.

Chief Compliance Officer Approval

I have approved this Business Continuity Plan as reasonably designed to enable Park Lane Financial to meet its obligations to customers in the event of an SBD.

Signed: _____ Title: _____ Date: _____

Reviewed and updated on: _____

Employee Acknowledgements

I have received and understand this Business Continuity Plan.

Signed: _____ Title: _____ Date: _____

Signed: _____ Title: _____ Date: _____

Signed: _____ Title: _____ Date: _____

Signed: _____ Title: _____ Date: _____